

## UNITED STATES PATENT AND TRADEMARK OFFICE

**Applicant : Albert P. Pisano and Kirk Patrick Seward      Art Unit : Unknown**  
**Examiner : Unknown**  
**Serial No. : 09/961,079**  
**Filed : September 20, 2001**  
**Title : A METHOD OF INTERVENTIONAL SURGERY**

Commissioner for Patents  
Washington, D.C. 20231

## DECLARATION BY THE INVENTOR

As a below-named inventor(s), I hereby declare that:

My residence, post office address and citizenship are as stated next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

## A METHOD OF INTERVENTIONAL SURGERY

the specification of which:

- ☐ is attached hereto.
- ☒ was filed on September 20, 2001, and identified as Attorney Docket No. 07043-091001.
- ☐ was filed on September 20, 2001, as Application Serial No. 09/961,079, and was amended on .
- ☐ was described and claimed in PCT International Application No. [XXX] filed on [XXX] and as amended under PCT Article 19 on [XXX].

**CERTIFICATE OF MAILING BY FIRST CLASS MAIL**

I hereby certify under 37 CFR §1.8(a) that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated below and is addressed to the Commissioner for Patents, Washington, D.C. 20231.

January 22, 2002

Date of Deposit

Justine Costigan

Signature

(Judith Cotham

Typed or Printed Name of Person Signing Certificate

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance 37 CFR §1.56(a).

I hereby claim the benefit under Title 35, United States Code, §119(e)(1) of any United States provisional application(s) listed below:

U.S. Serial No.		Filing Date		Status

I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information I know to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

U.S. Serial No.		Filing Date		Status

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate or of any PCT international application(s) designating at least one country other than the United States of America listed below and have also identified below any foreign application for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) of which priority is claimed:

Applicant : Albert P. Pisano and Kirk Patrick Seward  
Serial No. : 09/961,079  
Filed : September 20, 2001  
Page : 3

Attorney's Docket No.: 07043-091001 / UCB-B01-049-

1

Country	Application No.	Filing Date	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: KIRK PATRICK SEWARD

Inventor's signature: \_\_\_\_\_


Date: \_\_\_\_\_

Residence: 5050 Hacienda Drive #2037, Dublin, CA 94568

Citizen of: \_\_\_\_\_

Post Office Address: 2221 Commerce Avenue, Suite A1, Concord, CA 94520

Full name of inventor: ALBERT P. PISANO

Inventor's signature: 

Date: 18 DEC 2001

Residence: 50 Kendall Lane, Danville, CA 94526

Citizen of: USA

Post Office Address: Dept. - BSA/ERL, 497 Cory Hall, Berkeley, CA 94720-1774

Fish & Richardson P.C.  
2200 Sand Hill Road, Suite 100  
Menlo Park, California 94025  
Telephone: (650) 322-5070  
Facsimile: (650) 854-0875

50072362

Applicant : Albert P. Pisano and Kirk Patrick Seward  
Serial No. : 09/961,079  
Filed : September 20, 2001  
Page : 3

Attorney's Docket No.: 97043-091001 / UCB-B01-049-

1

**COPY OF PAPERS  
ORIGINALLY FILED**



Country	Application No.	Filing Date	Priority Claimed
			<input type="checkbox"/> Yes <input type="checkbox"/> No

I hereby declare that all statement made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of inventor: KIRK PATRICK SEWARD

Inventor's signature: *KPS*

Date: 02 January 2002

Residence: 5050 Hacienda Drive #2037, Dublin, CA 94568

Citizen of: USA

Post Office Address: 2221 Commerce Avenue, Suite A1, Concord, CA 94520

Full name of inventor: ALBERT P. PISANO

Inventor's signature: \_\_\_\_\_

Date: \_\_\_\_\_

Residence: 50 Kendall Lane, Danville, CA 94526

Citizen of: \_\_\_\_\_

Post Office Address: Dept. - BSA/ERL, 497 Cory Hall, Berkeley, CA 94720-1774

Fish & Richardson P.C.  
2200 Sand Hill Road, Suite 100  
Menlo Park, California 94025  
Telephone: (650) 322-5070  
Facsimile: (650) 854-0875

50072362